

**APPROVED**  
by CEO of  
Saint-Petersburg International Mercantile Exchange  
05 September 2019 (Order No. 388/79)  
SPIMEX CEO  
A.E. Rybnikov

**Regulation on Provision of Documents  
for Admission to Organized Trading of  
Saint-Petersburg International Mercantile Exchange**

**Moscow  
2019**

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1. The Exchange agrees to regularly (systematically) provide services to the Company for conducting organized trading in the _____ Section/Section Segment of the Exchange in accordance with the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange, the Rules of Organized Trading in the _____ Section of Joint Stock Company Saint-Petersburg International Mercantile Exchange and other internal regulations of the Exchange (hereinafter, the Rules of Organized Trading) adopted in accordance with the requirements of the legislation of the Russian Federation and the Articles of Association of the Exchange. The Company agrees to pay for the services provided. ....	41
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3. In accordance with the Rules of Admission to Organized Trading of Saint-Petersburg International Mercantile Exchange and pursuant to this Agreement the Exchange agrees to admit the Company to organized trading in the category of _____ and grant it the right to participate in organized trading in the _____ Section/Section Segment of the Exchange, and the Company is obliged to pay a fee for the right to participate in organized trading as _____ in the amount of _____ (_____) rubles, VAT exempt. ....	41
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## 1. GENERAL PROVISIONS

1. This Regulation on Provision of Documents for Admission to Organized Trading of Saint-Petersburg International Mercantile Exchange (hereinafter, the Document Provision Regulation) prescribes the forms of documents to be provided by the Applicants and/or Trading Participant to SPIMEX according to the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange (hereinafter, the Rules of Admission).

The Document Provision Regulation is approved by the CEO of the Exchange. The effective date of the approved document is determined by the decision of the CEO of the Exchange. The Regulation approved by the Exchange CEO shall be disclosed on the Exchange's website on the Internet ([www.spimex.com](http://www.spimex.com)) at least five (5) business days prior to the effective date of the approved document, unless other period is specified by the decision of the Exchange CEO.
2. Terms and definitions used herein shall have the meanings set forth in the Rules of Admission, as well as in the laws, regulatory acts of the Bank of Russia and other regulations of the Russian Federation.

## 2. DOCUMENTS TO BE PROVIDED BY THE APPLICANT FOR ADMISSION TO ORGANIZED TRADING AND MANDATORY REGULAR IDENTIFICATION OF TRADING PARTICIPANTS

2.1. To be admitted to organized trading on the Exchange pursuant to Section 6 of the Rules of Admission the Applicant shall provide the documents in hard copy according to the list specified in Table 1 of this clause of the Document Provision Regulation. For the purposes of mandatory regular identification of the Trading Participants in the manner prescribed in Section 13 of the Rules of Admission the registration card shall be provided in the form of a scanned copy. Forms of the documents specified in Table 1 are provided in Appendix No. 1 to the Document Provision Regulation.

**Table 1**

<b>Document name</b>	<b>Document form</b>
Application for Admission to Organized Trading	<a href="#">No. 1</a>
Registration Card (for legal entities registered in accordance with the legislation of the Russian Federation)	<a href="#">No. 2A</a>
Registration Card (for individual entrepreneurs registered in accordance with the legislation of the Russian Federation)	<a href="#">No. 2B</a>
Registration Card (for foreign legal entities)	<a href="#">No. 2C</a>
Consent to Personal Data Processing	<a href="#">No. 3</a>
Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the Category of Exchange Member)	<a href="#">No. 4A</a>
Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the Category of Non-Resident Exchange Member)	<a href="#">No. 5A</a>
Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the Categories of Section Member, Special Section Member)	<a href="#">No. 4B</a>
Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the Category of Non-Resident Section Member)	<a href="#">No. 5B</a>

Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the Categories of Temporary Section Member, Section Trade Visitor, Section Segment Trade Visitor)	<a href="#"><u>No. 4C</u></a>
Agreement on Provision of Services for Conduct of Organized Trading (for Applicants in the Categories of Non-Resident Temporary Section Member, Non-Resident Section Trade Visitor, Non-Resident Section Segment Trade Visitor)	<a href="#"><u>No. 5C</u></a>
Agreement on Provision to SPIMEX Trading Participant of Software and/or Technical Means for Remote Access to Services Rendered by the Exchange	<a href="#"><u>No. 6</u></a>

### 3. DOCUMENTS TO BE PROVIDED BY THE TRADING PARTICIPANT FOR ACCREDITATION OF AUTHORIZED REPRESENTATIVES

3.1. For accreditation of the authorized representative, if authority of the Trading Participant's representative is not certified by the constituent documents of the Trading Participant, the Trading Participant shall pursuant to Section 6 of the Rules of Admission submit to the Exchange one of the powers of attorney specified in Table 2 of this clause of the Document Provision Regulation. Forms of the powers of attorney specified in Table 2 are provided in Appendix No. 2 to the Document Provision Regulation.

**Table 2**

<b>Document name</b>	<b>Document form</b>
Power of attorney to a trader with authority to conduct operations on behalf of the Trading Participant and all its Customers	<a href="#">No. 7A</a>
Power of attorney to a trader with authority to conduct operations on behalf of one or more Customers	<a href="#">No. 7B</a>
Power of attorney to a trader with authority to conduct operations on behalf and for account of the Trading Participant or for account of one or more Customers being foreign persons (for foreign legal entities)	<a href="#">No. 7C</a>
Power of attorney to an analyst	<a href="#">No. 7D</a>

3.2. For accreditation of the authorized representative, if the Trading Participant's representative acts on behalf of the Trading Participant on the basis of the constituent documents, the Trading Participant shall pursuant to Section 6 of the Rules of Admission submit to the Exchange the application specified in Table 3 of this clause of the Document Provision Regulation. Form of the application specified in Table 3 is provided in Appendix No. 2 to the Document Provision Regulation.

**Table 3**

<b>Document name</b>	<b>Document form</b>
Application for Accreditation of the Authorized Representative of the Trading Participant	<a href="#">No. 8</a>

**4. DOCUMENTS TO BE PROVIDED BY THE TRADING PARTICIPANT FOR INCLUSION IN THE LIST OF COMMODITY TRADING PARTICIPANTS ACTING ON BEHALF AND FOR ACCOUNT OF OTHER PERSONS (for the following Categories of Trading Participants: Exchange Member/Non-Resident Exchange Member, Section Member/Non-Resident Section Member, Temporary Section Member/Non-Resident Temporary Section Member)**

4.1. For inclusion of the Trading Participant in the List of Commodity Trading Participants Acting on Behalf and for Account of Other Persons and/or publication of the information on such Trading Participant on the Exchange's website the Trading Participant shall, pursuant to Section 8 of the Rules of Admission, submit to the Exchange one of the applications specified in Table 4 of this clause of the Document Provision Regulation. Forms of the applications specified in Table 4 are provided in Appendix No. 3 to the Document Provision Regulation.

**Table 4**

<b>Document name</b>	<b>Document form</b>
Application for Inclusion in the List of Commodity Trading Participants Acting on Behalf and for Account of Other Persons of Joint Stock Company Saint-Petersburg International Mercantile Exchange (for Trading Participants in the commodity sections of the Exchange being legal entities registered in accordance with the legislation of the Russian Federation)	<a href="#"><u>No. 9A</u></a>
Application for Inclusion in the List of Commodity Trading Participants Acting on Behalf and for Account of Other Persons of Joint Stock Company Saint-Petersburg International Mercantile Exchange (for Trading Participants in the commodity sections of the Exchange being foreign legal entities)	<a href="#"><u>No. 9B</u></a>
Application for Publication of the Information on the Website of Joint Stock Company Saint-Petersburg International Mercantile Exchange as about the Trading Participant Acting on Behalf and for Account of Other Persons (for Trading Participants being legal entities registered in accordance with the legislation of the Russian Federation and having a license of a professional securities market participant)	<a href="#"><u>No. 10A</u></a>
Application for Publication of the Information on the Website of Joint Stock Company Saint-Petersburg International Mercantile Exchange as about the Trading Participant Acting on Behalf and for Account of Other Persons (for Trading Participants in the Derivatives Section being foreign legal entities)	<a href="#"><u>No. 10B</u></a>

## 5. DOCUMENTS TO BE PROVIDED BY THE TRADING PARTICIPANT FOR TERMINATION OR EXTENSION OF THE AGREEMENT ON PROVISION OF SERVICES FOR CONDUCT OF ORGANIZED TRADING

5.1. To terminate admission to organized trading (discontinue the services provided by the Exchange by terminating the Agreement on Provision of Services for Conduct of Organized Trading) pursuant to Section 12 of the Rules of Admission or extend the Agreement on Provision of Services for Conduct of Organized Trading in cases provided for in Section 4 of the Rules of Admission the Trading Member may submit to the Exchange the application specified in Table 5 of this clause of the Document Provision Regulation. Forms of the applications specified in Table 5 are provided in Appendix No. 4 to the Document Provision Regulation.

*Table 5*

<b>Document name</b>	<b>Document form</b>
Application for Termination of Agreement on Provision of Services for Conduct of Organized Trading	<a href="#"><u>No. 11</u></a>
Application for Extension of Agreement on Provision of Services for Conduct of Organized Trading with SPIMEX	<a href="#"><u>No. 12</u></a>



**Forms of Documents to be Provided by the Applicant  
for Admission to Organized Trading  
and Mandatory Regular Identification of Trading Participants**

**Form No. 1**

**[ON THE LETTERHEAD OF THE ORGANIZATION]**

CEO SPIMEX

**APPLICATION  
for Admission to Organized Trading**

I hereby request admission to organized trading of SPIMEX

---

(hereinafter, the Applicant)

in the Category:

---

*(specify Category and Section name)*

The Applicant hereby agrees to use the basic digital signature and enhanced encrypted non-certified digital signature when filing orders and making contracts in organized trading of SPIMEX in accordance with the requirements of the legislation of the Russian Federation and the Rules of Organized Trading.

The Applicant confirms that this Application for Admission to Organized Trading and the documents attached hereto comply with the requirements of laws and other regulations of the Russian Federation, the country of origin of the foreign legal entity, as well as the requirements of the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange.

The Applicant has read and agrees to comply with the Rules of Organized Trading.

Appendices:

1.

2.

Position  
(head of the organization  
or other authorized person)

---

(signature)

---

(Initials, Surname)

L.S.

\_\_\_\_\_ 20\_\_

**Note.** If the person that signed the Application acts on the basis of a power of attorney, the duly certified copy of such power of attorney confirming authority of the person to sign the Application shall be attached hereto.

## REGISTRATION CARD

(for legal entities registered in accordance with the legislation of the Russian Federation)

1.	Full name of the organization (in accordance with the Articles of Association)	
2.	Abbreviated name of the organization (in accordance with the Articles of Association)	
3.	Full name of the organization in English (in accordance with the Articles of Association, if not specified in the Articles of Association — the generally used one)	
4.	Abbreviated name of the organization in English (in accordance with the Articles of Association, if not specified in the Articles of Association — the generally used one)	
5.	Date of state registration of the legal entity	
6.	Primary State Registration Number (OGRN)	
7.	INN (Taxpayer Identification Number)/KPP (Tax Registration Reason Code)	
8.	OKPO (All-Russia Classifier of Businesses and Organizations) Code	
9.	OKVED (All-Russia Classifier of Types of Economic Activity) Code	
10.	BIK (Bank Identification Code) — for credit institutions	
11.	Location (as indicated in the Extract from EGRUL (Unified State Register of Legal Entities))	

12.	Actual address	
13.	Correspondence (mail) address	
14.	Telephone number	
15.	E-mail address	
16.	Internet website of the organization	
17.	Contact persons in charge of issues related to execution, signing, submission of documents required in connection with admission to trading: <ul style="list-style-type: none"> <li>- Full name</li> <li>- position</li> <li>- contact telephone numbers</li> <li>- e-mail address</li> </ul>	
18.	Traders authorized to conduct operations in the commodity sections: <ul style="list-style-type: none"> <li>- Full name</li> <li>- position</li> <li>- contact telephone numbers</li> <li>- address (actual location) of the User's automated workstation</li> <li>- e-mail address</li> </ul>	
19.	Traders authorized to conduct operations in the Derivatives Section: <ul style="list-style-type: none"> <li>- Full name</li> <li>- position</li> <li>- contact telephone numbers</li> <li>- address (actual location) of the User's automated workstation</li> <li>- e-mail address</li> </ul>	
20.	Bank details	

Position  
(head of the organization  
or other authorized person)

\_\_\_\_\_ / \_\_\_\_\_ /  
(signature) (Initials, Surname)

L.S.

\_\_\_\_\_ 20\_\_

## REGISTRATION CARD

(for individual entrepreneurs registered in accordance with the legislation of the Russian Federation)

1.	Name, patronymic, surname	
2.	Type of the identification document	
2.1	series and number of the document,	
2.2	issuing authority, date of issue	
3.	Date of birth (as specified in the identification document)	
4.	Date of registration (as specified in the identification document)	
5.	Date of state registration of the individual as an individual entrepreneur	
6.	Primary State Registration Number (OGRN)	
7.	INN (Taxpayer Identification Number)	
8.	OKVED (All-Russia Classifier of Types of Economic Activity) Code	
9.	OKPO (All-Russia Classifier of Businesses and Organizations) Code	
10.	Actual address	
11.	Correspondence (mail) address	
12.	Telephone number	
13.	E-mail address	
14.	Internet website of the individual entrepreneur	

15.	<p>Contact persons in charge of issues related to execution, signing, submission of documents required in connection with admission to trading:</p> <ul style="list-style-type: none"> <li>- Full name</li> <li>- position</li> <li>- contact telephone numbers</li> <li>- e-mail address</li> </ul>	
16.	<p>Traders authorized to conduct operations in the commodity sections:</p> <ul style="list-style-type: none"> <li>- Full name</li> <li>- position</li> <li>- contact telephone numbers</li> <li>- address (actual location) of the User's automated workstation</li> <li>- e-mail address</li> </ul>	
17.	<p>Traders authorized to conduct operations in the Derivatives Section:</p> <ul style="list-style-type: none"> <li>- Full name</li> <li>- position</li> <li>- contact telephone numbers</li> <li>- address (actual location) of the User's automated workstation</li> <li>- e-mail address</li> </ul>	
18.	Bank details	

Individual Entrepreneur

\_\_\_\_\_/\_\_\_\_\_  
 (signature) (Initials, Surname)

L.S.

\_\_\_\_\_ 20\_\_

REGISTRATION CARD  
(for foreign legal entities)

1.	Full name of the organization (in accordance with the constituent documents)	
2.	Abbreviated name of the organization (in accordance with the constituent documents)	
3.	Full name of the organization in Russian	
4.	Full name of the organization in Latin letters	
5.	Country of registration	
6.	Name and number of the document of registration of the legal entity	
7.	Date of issue of the document	
8.	INN/KIO (Foreign Company Code) or taxpayer code (or its equivalent) in the country of registration	
9.	Location	
10.	Actual address	
11.	Correspondence (mail) address	
12.	Telephone number	
13.	E-mail address	
14.	Internet website of the organization	
15.	<p>Contact persons in charge of issues related to execution, signing, submission of documents required in connection with admission to trading:</p> <ul style="list-style-type: none"> <li>- Full name</li> <li>- position</li> <li>- contact telephone numbers</li> </ul>	

	- e-mail address	
16.	Traders authorized to conduct operations in the commodity sections: <ul style="list-style-type: none"> <li>- Full name</li> <li>- position</li> <li>- contact telephone numbers</li> <li>- address (actual location) of the User's automated workstation</li> <li>- e-mail address</li> </ul>	
17.	Traders authorized to conduct operations in the Derivatives Section: <ul style="list-style-type: none"> <li>- Full name</li> <li>- position</li> <li>- contact telephone numbers</li> <li>- address (actual location) of the User's automated workstation</li> <li>- e-mail address</li> </ul>	
18.	Bank details	

Position  
(head of the organization  
or other authorized person)

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
(signature) (Initials, Surname)

L.S.

\_\_\_\_\_ 20\_\_



**CONSENT TO PERSONAL DATA PROCESSING**

I, the undersigned, \_\_\_\_\_  
(full name)

Passport (or other personal identity document for the individual not being citizen of the Russian Federation) \_\_\_\_\_

\_\_\_\_\_  
(series and number, date of issue, name of the issuing authority)

in accordance with the requirements of Article 9 of Federal Law No. 152-FZ of 27 July 2006 "On Personal Data" (hereinafter, the Personal Data Law), hereby give my Consent to processing of personal data (hereinafter, the Consent) in the manner and scope specified below to Joint Stock Company Saint-Petersburg International Mercantile Exchange (hereinafter, the Company, the Operator) located at: 119021, Moscow, ul. Timura Frunze, 24, for the purpose of participation in the organized trading conducted by the Company.

The personal data, processing of which is covered by this Consent, include:

- name, patronymic, surname;
- details of the identification document;
- details containing the information on the contact telephone number and e-mail address.

Subject to the requirements of clause 1 of Article 8 of the Personal Data Law I hereby give my Consent to inclusion of the personal data listed above in the publicly available sources of personal data for the purposes of processing thereof.

Processing of the personal data listed above may be performed with and/or without the use of automation facilities and includes: collection, recording, categorization, accumulation, storage, refinement (updating, modification), extraction, use, transfer (distribution, provision, access), anonymization, blocking, deletion, destruction.

The Consent shall be in effect until the purpose of processing of the personal data in the Company is achieved or achievement of this purpose is no longer needed.

The Consent may be revoked by me at any time in full or in part by filing a Request for Revocation of the Consent to Personal Data Processing with the Company in simple written form at least 30 days prior to the date of revocation of the Consent.

In the event of revocation thereof the Company shall discontinue processing of my personal data and destroy them, except for the personal data, further processing of which is the Company's duty established by the legislation of the Russian Federation.

I have been warned of the liability for provision of false information.

I confirm that I have read the provisions of the Personal Data Law and that the rights and obligations with regard to personal data protection have been duly explained to me.

Signature: \_\_\_\_\_

Date of completion: «\_\_» \_\_\_\_\_ 20\_\_

**AGREEMENT No. 01/  
on Provision of Services for Conduct of Organized Trading**

Moscow

«\_\_» \_\_\_\_\_ 20\_\_

Saint-Petersburg International Mercantile Exchange (SPIMEX), hereinafter referred to as the “Exchange”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for one part, and \_\_\_\_\_, hereinafter referred to as the “Company”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for the other part, hereinafter jointly referred to as the “Parties”, have entered into this Agreement on Provision of Services for Conduct of Organized Trading (hereinafter, the Agreement) on the following:

1. The Exchange agrees to regularly (systematically) provide services to the Company for conducting organized trading in all sections of the Exchange in accordance with the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange, the Rules of Organized Trading in the respective sections of Joint Stock Company Saint-Petersburg International Mercantile Exchange and other internal regulations of the Exchange (hereinafter, the Rules of Organized Trading) adopted in accordance with the requirements of the legislation of the Russian Federation and the Articles of Association of the Exchange. The Company agrees to pay for the services provided.

2. This Agreement is concluded by way of accession of the Company hereto. Conditions and procedure for provision of the services, their scope and terms of payment, as well as other rights and obligations associated with conducting of organized trading set forth in the Rules of Organized Trading constitute an integral part hereof. Terms and definitions used in the Agreement shall have the meanings assigned to them in the Rules of Organized Trading of the Exchange and in laws and other regulations of the Russian Federation.

3. In accordance with the Rules of Admission to Organized Trading of Saint-Petersburg International Mercantile Exchange and pursuant to this Agreement the Exchange agrees to admit the Company to organized trading in the category of Exchange Member and grant it the right to participate in organized trading in all sections of the Exchange, and the Company is obliged to pay a fee for the right to participate in organized trading as the Exchange Member/pay additional fee for changing the category of Section(s) Member in the \_\_\_\_\_ Section(s) for the category of Exchange Member in the amount of \_\_\_\_\_ (\_\_\_\_\_) rubles, VAT exempt.

The payment shall be made by the Company within fifteen (15) business days from the day of signing this Agreement by the Parties. In case of non-receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange within the specified time limit, this Agreement shall be deemed terminated, and admission to trading — not provided from the day following the last day of the time limit established for making the payment.

The payment for the right to participate in organized trading shall be made by the Company by one-time non-cash transfer to the settlement account of the Exchange specified herein. Payment details of the Exchange may be changed by the Exchange in its sole discretion subject to written notification to the Company of such change.

The date of admission of the Company and granting it the right to participate in organized trading shall be the date of receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange.

4. The Company on the basis of this Agreement shall pay a fee for registration of contracts made in the course of organized trading, hereinafter, the exchange fee.

Rates (amount) of the exchange fee are established by the resolutions of the authorized bodies of the Exchange and disclosed on the Exchange's website on the Internet.

The exchange fee shall be paid by the Company on a monthly basis based on the results of transactions made by the Company in each section for the previous calendar month.

No later than the fifth (5th) day of the month following the reporting month the Exchange will send to the Company a Certificate of Services Rendered for the month signed by the Exchange and an invoice for payment.

The Company shall pay the invoice issued by the Exchange within ten (10) banking days from the date of issue of the invoice. The day of performance of the obligation to pay the exchange fee shall be the day the monetary funds are credited to the correspondent account of the Exchange's bank.

5. In the performance of obligations under the Agreement the Exchange and the Company may exchange messages by e-mail (correspondence and/or exchange of documents provided for in clause 4 of the Agreement).

E-mail addresses for correspondence and/or document exchange are determined for the Company on the basis of the information specified in the Registration Card prescribed by the Regulation on Provision of Documents for Admission to Organized Trading of SPIMEX, for the Exchange — "pay@s-pimex.ru" or "pay@spimex.com" or e-mail address indicating the mailbox name of the Exchange employee with the domain name "...@s-pimex.ru" or "...@spimex.com". The day of delivery of the Exchange's message to the Company by e-mail shall be the day of sending the respective message by the Exchange to the e-mail address of the Company.

6. On the basis of this Agreement and the respective supplements hereto the Exchange may render additional services to the Company that are directly related to participation in organized trading. Scope and type of the additional services of the Exchange and the cost of these services are approved by the authorized body of the Exchange. Fees for the additional services are disclosed on the Exchange's website on the Internet in accordance with the Rules of Organized Trading.

7. This Agreement may be unilaterally terminated on any of the grounds provided for in the Rules of Organized Trading for termination of admission to organized trading on the Exchange by sending a written notice to the other Party ten (10) business days before the expected date of termination of the Agreement. This time limit may be changed upon a mutual agreement of the Parties.

The Agreement shall be deemed terminated from the date indicated in the notice. Upon termination of this Agreement the Company shall settle accounts with the Exchange with regard to performance of obligations to pay for the services of the Exchange hereunder.

8. The Agreement shall come into effect on the date of its signing by the Parties. The Agreement is entered into for an indefinite term.

9. The Agreement is executed in 2 counterparts having equal legal force, one for the Company and one for the Exchange. All amendments and supplements to the Agreement shall be deemed Appendices and constitute an integral part hereof.

**Addresses and Details of the Parties:**

The Exchange:  
Saint-Petersburg International Mercantile  
Exchange  
OGRN (Primary State Registration Number)  
1089847188903  
INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
7840389730/784001001  
Location and postal address:  
191119, Saint-Petersburg, ul. Marata, 69-71,  
letter A, office 7-N.  
119021, Moscow, ul. Timura Frunze, 24.  
e-mail:  
[info@spimex.com](mailto:info@spimex.com); [clients@spimex.com](mailto:clients@spimex.com)  
Bank details:  
settlement account No.  
4070181000000000089  
with Bank "RRDB" (JSC), Moscow,  
correspondent account No.  
30101810900000000880 with the Main  
Branch of the Bank of Russia for the Central  
Federal District, BIK (Bank Identification  
Code) 044525880  
Position:

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The Company:  
  
OGRN  
  
INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
  
Location and postal address:  
  
  
e-mail:  
  
Bank details:  
  
  
  
Position:

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**AGREEMENT No. 02/  
on Provision of Services for Conduct of Organized Trading**

Moscow

«\_\_»\_\_\_\_\_20\_\_

Saint-Petersburg International Mercantile Exchange (SPIMEX), hereinafter referred to as the “Exchange”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for one part, and \_\_\_\_\_, hereinafter referred to as the “Company”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for the other part, hereinafter jointly referred to as the “Parties”, have entered into this Agreement on Provision of Services for Conduct of Organized Trading (hereinafter, the Agreement) on the following:

1. The Exchange agrees to regularly (systematically) provide services to the Company for conducting organized trading in the \_\_\_\_\_ Section of the Exchange in accordance with the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange, the Rules of Organized Trading in the \_\_\_\_\_ Section of Joint Stock Company Saint-Petersburg International Mercantile Exchange and other internal regulations of the Exchange (hereinafter, the Rules of Organized Trading) adopted in accordance with the requirements of the legislation of the Russian Federation and the Articles of Association of the Exchange. The Company agrees to pay for the services provided.

2. This Agreement is concluded by way of accession of the Company hereto. Conditions and procedure for provision of the services, their scope and terms of payment, as well as other rights and obligations associated with conducting of organized trading set forth in the Rules of Organized Trading constitute an integral part hereof. Terms and definitions used in the Agreement shall have the meanings assigned to them in the Rules of Organized Trading of the Exchange and in laws and other regulations of the Russian Federation.

3. In accordance with the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange and pursuant to this Agreement the Exchange agrees to admit the Company to organized trading in the category of Section Member/Special Section Member and grant it the right to participate in organized trading in the \_\_\_\_\_ Section of the Exchange, and the Company is obliged to pay a fee for the right to participate in organized trading as the Section Member/Special Section Member in the amount of \_\_\_\_\_ (\_\_\_\_\_) rubles, VAT exempt.

The payment shall be made by the Company within fifteen (15) business days from the day of signing this Agreement by the Parties. In case of non-receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange within the specified time limit, this Agreement shall be deemed terminated, and admission to trading — not provided from the day following the last day of the time limit established for making the payment.

The payment for the right to participate in organized trading shall be made by the Company by one-time non-cash transfer to the settlement account of the Exchange specified herein. Payment

details of the Exchange may be changed by the Exchange in its sole discretion subject to written notification to the Company of such change.

The date of admission of the Company and granting it the right to participate in organized trading shall be the date of receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange.

4. The Company on the basis of this Agreement shall pay a fee for registration of contracts made in the course of organized trading, hereinafter, the exchange fee.

Rates (amount) of the exchange fee are established by the resolutions of the authorized bodies of the Exchange and disclosed on the Exchange's website on the Internet.

The exchange fee shall be paid by the Company on a monthly basis based on the results of transactions made by the Company in the \_\_\_\_\_ Section for the previous calendar month.

No later than the fifth (5th) day of the month following the reporting month the Exchange will send to the Company a Certificate of Services Rendered for the month signed by the Exchange and an invoice for payment.

The Company shall pay the invoice issued by the Exchange within ten (10) banking days from the date of issue of the invoice. The day of performance of the obligation to pay the exchange fee shall be the day the monetary funds are credited to the correspondent account of the Exchange's bank.

5. In the performance of obligations under the Agreement the Exchange and the Company may exchange messages by e-mail (correspondence and/or exchange of documents provided for in clause 4 of the Agreement).

E-mail addresses for correspondence and/or document exchange are determined for the Company on the basis of the information specified in the Registration Card prescribed by the Regulation on Provision of Documents for Admission to Organized Trading of SPIMEX, for the Exchange — "pay@s-pimex.ru" or "pay@spimex.com" or e-mail address indicating the mailbox name of the Exchange employee with the domain name "...@s-pimex.ru" or "...@spimex.com". The day of delivery of the Exchange's message to the Company by e-mail shall be the day of sending the respective message by the Exchange to the e-mail address of the Company.

6. On the basis of this Agreement and the respective supplements hereto the Exchange may render additional services to the Company that are directly related to participation in organized trading. Scope and type of the additional services of the Exchange and the cost of these services are approved by the authorized body of the Exchange. Fees for the additional services are disclosed on the Exchange's website on the Internet in accordance with the Rules of Organized Trading.

7. This Agreement may be unilaterally terminated on any of the grounds provided for in the Rules of Organized Trading for termination of admission to organized trading on the Exchange by sending a written notice to the other Party ten (10) business days before the expected date of termination of the Agreement. This time limit may be changed upon a mutual agreement of the Parties.

The Agreement shall be deemed terminated from the date indicated in the notice. Upon termination of this Agreement the Company shall settle accounts with the Exchange with regard to performance of obligations to pay for the services of the Exchange hereunder.

8. The Agreement shall come into effect on the date of its signing by the Parties. The Agreement is entered into for an indefinite term.

9. The Agreement is executed in 2 counterparts having equal legal force, one for the Company and one for the Exchange. All amendments and supplements to the Agreement shall be deemed Appendices and constitute an integral part hereof.

**Addresses and Details of the Parties:**

The Exchange:  
Saint-Petersburg International Mercantile  
Exchange  
OGRN (Primary State Registration Number)  
1089847188903  
INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
7840389730/784001001  
Location and postal address:  
191119, Saint-Petersburg, ul. Marata, 69-71,  
letter A, office 7-N.  
119021, Moscow, ul. Timura Frunze, 24.  
e-mail:  
[info@spimex.com](mailto:info@spimex.com); [clients@spimex.com](mailto:clients@spimex.com)  
Bank details:  
settlement account No.  
40701810000000000089  
with Bank "RRDB" (JSC), Moscow,  
correspondent account No.  
301018109000000000880 with the Main  
Branch of the Bank of Russia for the Central  
Federal District, BIK (Bank Identification  
Code) 044525880

Position:  
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The Company:  
  
OGRN  
  
INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
  
Location and postal address:  
  
  
e-mail:  
  
Bank details:

Position:  
\_\_\_\_\_ / \_\_\_\_\_ /

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**Form No. 4C**  
**for Temporary Section Member,**  
**Section Trade Visitor, Section Segment Trade Visitor Categories**

**AGREEMENT No. /**  
**on Provision of Services for Conduct of Organized Trading**

Moscow

«\_\_» \_\_\_\_\_ 20\_\_

Saint-Petersburg International Mercantile Exchange (SPIMEX), hereinafter referred to as the “Exchange”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for one part, and \_\_\_\_\_, hereinafter referred to as the “Company”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for the other part, hereinafter jointly referred to as the “Parties”, have entered into this Agreement on Provision of Services for Conduct of Organized Trading (hereinafter, the Agreement) on the following:

1. The Exchange agrees to regularly (systematically) provide services to the Company for conducting organized trading in the \_\_\_\_\_ Section/Section Segment of the Exchange in accordance with the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange, the Rules of Organized Trading in the \_\_\_\_\_ Section of Joint Stock Company Saint-Petersburg International Mercantile Exchange and other internal regulations of the Exchange (hereinafter, the Rules of Organized Trading) adopted in accordance with the requirements of the legislation of the Russian Federation and the Articles of Association of the Exchange. The Company agrees to pay for the services provided.

2. This Agreement is concluded by way of accession of the Company hereto. Conditions and procedure for provision of the services, their scope and terms of payment, as well as other rights and obligations associated with conducting of organized trading set forth in the Rules of Organized Trading constitute an integral part hereof. Terms and definitions used in the Agreement shall have the meanings assigned to them in the Rules of Organized Trading of the Exchange and in laws and other regulations of the Russian Federation.

3. In accordance with the Rules of Admission to Organized Trading of Saint-Petersburg International Mercantile Exchange and pursuant to this Agreement the Exchange agrees to admit the Company to organized trading in the category of \_\_\_\_\_ and grant it the right to participate in organized trading in the \_\_\_\_\_ Section/Section Segment of the Exchange, and the Company is obliged to pay a fee for the right to participate in organized trading as \_\_\_\_\_ in the amount of \_\_\_\_\_ (\_\_\_\_\_) rubles, VAT exempt.

The payment shall be made by the Company within fifteen (15) business days from the day of signing this Agreement by the Parties. In case of non-receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange within the specified time limit, this Agreement shall be deemed terminated, and admission to trading — not provided from the day following the last day of the time limit established for making the payment.

The payment for the right to participate in organized trading shall be made by the Company by one-time non-cash transfer to the settlement account of the Exchange specified herein. Payment details of the Exchange may be changed by the Exchange in its sole discretion subject to written notification to the Company of such change.



The date of admission of the Company and granting it the right to participate in organized trading shall be the date of receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange.

4. The Company on the basis of this Agreement shall pay a fee for registration of contracts made in the course of organized trading, hereinafter, the exchange fee.

Rates (amount) of the exchange fee are established by the resolutions of the authorized bodies of the Exchange and disclosed on the Exchange's website on the Internet.

The exchange fee shall be paid by the Company on a monthly basis based on the results of transactions made by the Company in the \_\_\_\_\_ Section/Section Segment for the previous calendar month.

No later than the fifth (5th) day of the month following the reporting month the Exchange will send to the Company a Certificate of Services Rendered for the month signed by the Exchange and an invoice for payment.

The Company shall pay the invoice issued by the Exchange within ten (10) banking days from the date of issue of the invoice. The day of performance of the obligation to pay the exchange fee shall be the day the monetary funds are credited to the correspondent account of the Exchange's bank.

5. In the performance of obligations under the Agreement the Exchange and the Company may exchange messages by e-mail (correspondence and/or exchange of documents provided for in clause 4 of the Agreement).

E-mail addresses for correspondence and/or document exchange are determined for the Company on the basis of the information specified in the Registration Card prescribed by the Regulation on Provision of Documents for Admission to Organized Trading of SPIMEX, for the Exchange — "pay@s-pimex.ru" or "pay@spimex.com" or e-mail address indicating the mailbox name of the Exchange employee with the domain name "...@s-pimex.ru" or "...@spimex.com". The day of delivery of the Exchange's message to the Company by e-mail shall be the day of sending the respective message by the Exchange to the e-mail address of the Company.

6. On the basis of this Agreement and the respective supplements hereto the Exchange may render additional services to the Company that are directly related to participation in organized trading. Scope and type of the additional services of the Exchange and the cost of these services are approved by the authorized body of the Exchange. Fees for the additional services are disclosed on the Exchange's website on the Internet in accordance with the Rules of Organized Trading.

7. This Agreement may be unilaterally terminated on any of the grounds provided for in the Rules of Organized Trading for termination of admission to organized trading on the Exchange by sending a written notice to the other Party ten (10) business days before the expected date of termination of the Agreement. This time limit may be changed upon a mutual agreement of the Parties.

The Agreement shall be deemed terminated from the date indicated in the notice. Upon termination of this Agreement the Company shall settle accounts with the Exchange with regard to performance of obligations to pay for the services of the Exchange hereunder.

8. The Agreement shall come into effect on the date of its signing by the Parties and is concluded for one year with an option to extend.

To extend the Agreement the Company shall at least fifteen (15) business day before expiry thereof notify the Exchange in writing of its intention to extend the Agreement and send the documents to the Exchange that are prescribed by the Rules of Organized Trading for mandatory regular identification of a trading participant.

In this case the mandatory condition for extension of this Agreement for each next year shall be payment of a fee for the right to participate in organized trading in

\_\_\_\_\_ in the amount established by the resolution of the authorized body of the Exchange, prior to expiry hereof.

Upon fulfillment of conditions of this clause of the Agreement the term of the Agreement will be extended for another year. Procedure for extension of the Agreement may be repeatedly applied upon the expiry of each current term.

The Exchange may refuse to extend this Agreement on the grounds provided for in the Rules of Organized Trading for termination of admission to organized trading on the Exchange. In the event of refusal of the Exchange to extend the Agreement, the Exchange will notify the Company thereof in writing.

9. The Agreement is executed in 2 counterparts having equal legal force, one for the Company and one for the Exchange. All amendments and supplements to the Agreement shall be deemed Appendices and constitute an integral part hereof.

### **Addresses and Details of the Parties:**

The Exchange:

Saint-Petersburg International Mercantile Exchange

OGRN (Primary State Registration Number)  
1089847188903

INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
7840389730/784001001

Location and postal address:

191119, Saint-Petersburg, ul. Marata, 69-71,  
letter A, office 7-N.

119021, Moscow, ul. Timura Frunze, 24.

e-mail:

[info@spimex.com](mailto:info@spimex.com); [clients@spimex.com](mailto:clients@spimex.com)

Bank details:

settlement account No.  
4070181000000000089

with Bank "RRDB" (JSC), Moscow,  
correspondent account No.

30101810900000000880 with the Main  
Branch of the Bank of Russia for the Central  
Federal District, BIK (Bank Identification  
Code) 044525880

Position:

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The Company:

OGRN

INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)

Location and postal address:

e-mail:

Bank details:

Position:

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

\_\_\_\_\_ 20

**AGREEMENT No. 01/  
on Provision of Services for Conduct of Organized Trading**

Moscow

«\_\_»\_\_\_\_\_20\_\_

Saint-Petersburg International Mercantile Exchange (SPIMEX), hereinafter referred to as the “Exchange”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for one part, and \_\_\_\_\_, hereinafter referred to as the “Company”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for the other part, hereinafter jointly referred to as the “Parties”, have entered into this Agreement on Provision of Services for Conduct of Organized Trading (hereinafter, the Agreement) on the following:

1. The Exchange agrees to regularly (systematically) provide services to the Company for conducting organized trading in all sections of the Exchange in accordance with the Rules of Admission to Organized Trading of Saint-Petersburg International Mercantile Exchange, the Rules of Organized Trading in the respective sections of Saint-Petersburg International Mercantile Exchange and other internal regulations of the Exchange (hereinafter, the Rules of Organized Trading) adopted in accordance with the requirements of the legislation of the Russian Federation and the Articles of Association of the Exchange. The Company agrees to pay for the services provided.

2. This Agreement is concluded by way of accession of the Company hereto. Conditions and procedure for provision of the services, their scope and terms of payment, as well as other rights and obligations associated with conducting of organized trading set forth in the Rules of Organized Trading constitute an integral part hereof. Terms and definitions used in the Agreement shall have the meanings assigned to them in the Rules of Organized Trading of the Exchange and in laws and other regulations of the Russian Federation.

3. In accordance with the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange and pursuant to this Agreement the Exchange agrees to admit the Company to organized trading in the category of Non-Resident Exchange Member and grant it the right to participate in organized trading in all sections of the Exchange, and the Company is obliged to pay a fee for the right to participate in organized trading as the Non-Resident Exchange Member/pay additional fee for changing the category of Non-Resident Section(s) Member in the \_\_\_\_\_ Section(s) for the category of Non-Resident Exchange Member in the amount of \_\_\_\_\_ (\_\_\_\_\_) rubles, VAT exempt.

The payment shall be made by the Company within fifteen (15) business days from the day of signing this Agreement by the Parties. In case of non-receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange within the specified time limit, this Agreement shall be deemed terminated, and admission to trading — not provided from the day following the last day of the time limit established for making the payment.

The payment for the right to participate in organized trading shall be made by the Company by one-time non-cash transfer to the settlement account of the Exchange specified herein. Payment

details of the Exchange may be changed by the Exchange in its sole discretion subject to written notification to the Company of such change.

The date of admission of the Company and granting it the right to participate in organized trading shall be the date of receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange.

4. The Company on the basis of this Agreement shall pay a fee for registration of contracts made in the course of organized trading, hereinafter, the exchange fee.

Rates (amount) of the exchange fee are established by the resolutions of the authorized bodies of the Exchange and disclosed on the Exchange's website on the Internet.

The exchange fee shall be paid by the Company on a monthly basis based on the results of transactions made by the Company in each section for the previous calendar month.

No later than the fifth (5th) day of the month following the reporting month the Exchange will send to the Company a Certificate of Services Rendered for the month signed by the Exchange and an invoice for payment.

The Company shall pay the invoice issued by the Exchange within ten (10) banking days from the date of issue of the invoice. The day of performance of the obligation to pay the exchange fee shall be the day the monetary funds are credited to the correspondent account of the Exchange's bank.

5. In the performance of obligations under the Agreement the Exchange and the Company may exchange messages by e-mail (correspondence and/or exchange of documents provided for in clause 4 of the Agreement).

E-mail addresses for correspondence and/or document exchange are determined for the Company on the basis of the information specified in the Registration Card prescribed by the Regulation on Provision of Documents for Admission to Organized Trading of SPIMEX, for the Exchange — "pay@s-pimex.ru" or "pay@spimex.com" or e-mail address indicating the mailbox name of the Exchange employee with the domain name "...@s-pimex.ru" or "...@spimex.com". The day of delivery of the Exchange's message to the Company by e-mail shall be the day of sending the respective message by the Exchange to the e-mail address of the Company.

6. On the basis of this Agreement and the respective supplements hereto the Exchange may render additional services to the Company that are directly related to participation in organized trading. Scope and type of the additional services of the Exchange and the cost of these services are approved by the authorized body of the Exchange. Fees for the additional services are disclosed on the Exchange's website on the Internet in accordance with the Rules of Organized Trading.

7. This Agreement may be unilaterally terminated on any of the grounds provided for in the Rules of Organized Trading for termination of admission to organized trading on the Exchange by sending a written notice to the other Party ten (10) business days before the expected date of termination of the Agreement. This time limit may be changed upon a mutual agreement of the Parties.

The Agreement shall be deemed terminated from the date indicated in the notice. Upon termination of this Agreement the Company shall settle accounts with the Exchange with regard to performance of obligations to pay for the services of the Exchange hereunder.

8. The Agreement shall come into effect on the date of its signing by the Parties. The Agreement is entered into for an indefinite term.

9. The Agreement is executed in 2 counterparts having equal legal force, one for the Company and one for the Exchange. All amendments and supplements to the Agreement shall be deemed Appendices and constitute an integral part hereof.

**Addresses and Details of the Parties:**

The Exchange:  
Saint-Petersburg International Mercantile  
Exchange  
OGRN (Primary State Registration Number)  
1089847188903  
INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
7840389730/784001001  
Location and postal address:  
191119, Saint-Petersburg, ul. Marata, 69-71,  
letter A, office 7-N.  
119021, Moscow, ul. Timura Frunze, 24.  
e-mail:  
[info@spimex.com](mailto:info@spimex.com); [clients@spimex.com](mailto:clients@spimex.com)  
Bank details:  
settlement account No.  
40701810000000000089  
with Bank "RRDB" (JSC), Moscow,  
correspondent account No.  
301018109000000000880 with the Main  
Branch of the Bank of Russia for the Central  
Federal District, BIK (Bank Identification  
Code) 044525880

Position:  
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The Company:  
  
OGRN  
  
INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
  
Location and postal address:  
  
e-mail:  
  
Bank details:

Position:  
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\_\_\_\_\_ 20

**AGREEMENT No. 02/  
on Provision of Services for Conduct of Organized Trading**

Moscow

«\_\_» \_\_\_\_\_ 20\_\_

Saint-Petersburg International Mercantile Exchange (SPIMEX), hereinafter referred to as the “Exchange”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for one part, and \_\_\_\_\_, hereinafter referred to as the “Company”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for the other part, hereinafter jointly referred to as the “Parties”, have entered into this Agreement on Provision of Services for Conduct of Organized Trading (hereinafter, the Agreement) on the following:

1. The Exchange agrees to regularly (systematically) provide services to the Company for conducting organized trading in the \_\_\_\_\_ Section of the Exchange in accordance with the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange, the Rules of Organized Trading in the \_\_\_\_\_ Section of Joint Stock Company Saint-Petersburg International Mercantile Exchange and other internal regulations of the Exchange (hereinafter, the Rules of Organized Trading) adopted in accordance with the requirements of the legislation of the Russian Federation and the Articles of Association of the Exchange. The Company agrees to pay for the services provided.

2. This Agreement is concluded by way of accession of the Company hereto. Conditions and procedure for provision of the services, their scope and terms of payment, as well as other rights and obligations associated with conducting of organized trading set forth in the Rules of Organized Trading constitute an integral part hereof. Terms and definitions used in the Agreement shall have the meanings assigned to them in the Rules of Organized Trading of the Exchange and in laws and other regulations of the Russian Federation.

3. In accordance with the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange and pursuant to this Agreement the Exchange agrees to admit the Company to organized trading in the category of Non-Resident Section Member and grant it the right to participate in organized trading in the \_\_\_\_\_ Section of the Exchange, and the Company is obliged to pay a fee for the right to participate in organized trading as the Non-Resident Section Member in the amount of \_\_\_\_\_ (\_\_\_\_\_) rubles, VAT exempt.

The payment shall be made by the Company within fifteen (15) business days from the day of signing this Agreement by the Parties. In case of non-receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange within the specified time limit, this Agreement shall be deemed terminated, and admission to trading — not provided from the day following the last day of the time limit established for making the payment.

The payment for the right to participate in organized trading shall be made by the Company by one-time non-cash transfer to the settlement account of the Exchange specified herein. Payment

details of the Exchange may be changed by the Exchange in its sole discretion subject to written notification to the Company of such change.

The date of admission of the Company and granting it the right to participate in organized trading shall be the date of receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange.

4. The Company on the basis of this Agreement shall pay a fee for registration of contracts made in the course of organized trading, hereinafter, the exchange fee.

Rates (amount) of the exchange fee are established by the resolutions of the authorized bodies of the Exchange and disclosed on the Exchange's website on the Internet.

The exchange fee shall be paid by the Company on a monthly basis based on the results of transactions made by the Company in the \_\_\_\_\_ Section for the previous calendar month.

No later than the fifth (5th) day of the month following the reporting month the Exchange will send to the Company a Certificate of Services Rendered for the month signed by the Exchange and an invoice for payment.

The Company shall pay the invoice issued by the Exchange within ten (10) banking days from the date of issue of the invoice. The day of performance of the obligation to pay the exchange fee shall be the day the monetary funds are credited to the correspondent account of the Exchange's bank.

5. In the performance of obligations under the Agreement the Exchange and the Company may exchange messages by e-mail (correspondence and/or exchange of documents provided for in clause 4 of the Agreement).

E-mail addresses for correspondence and/or document exchange are determined for the Company on the basis of the information specified in the Registration Card prescribed by the Regulation on Provision of Documents for Admission to Organized Trading of SPIMEX, for the Exchange — "pay@s-pimex.ru" or "pay@spimex.com" or e-mail address indicating the mailbox name of the Exchange employee with the domain name "...@s-pimex.ru" or "...@spimex.com". The day of delivery of the Exchange's message to the Company by e-mail shall be the day of sending the respective message by the Exchange to the e-mail address of the Company.

6. On the basis of this Agreement and the respective supplements hereto the Exchange may render additional services to the Company that are directly related to participation in organized trading. Scope and type of the additional services of the Exchange and the cost of these services are approved by the authorized body of the Exchange. Fees for the additional services are disclosed on the Exchange's website on the Internet in accordance with the Rules of Organized Trading.

7. This Agreement may be unilaterally terminated on any of the grounds provided for in the Rules of Organized Trading for termination of admission to organized trading on the Exchange by sending a written notice to the other Party ten (10) business days before the expected date of termination of the Agreement. This time limit may be changed upon a mutual agreement of the Parties.

The Agreement shall be deemed terminated from the date indicated in the notice. Upon termination of this Agreement the Company shall settle accounts with the Exchange with regard to performance of obligations to pay for the services of the Exchange hereunder.

8. The Agreement shall come into effect on the date of its signing by the Parties. The Agreement is entered into for an indefinite term.

9. The Agreement is executed in 2 counterparts having equal legal force, one for the Company and one for the Exchange. All amendments and supplements to the Agreement shall be deemed Appendices and constitute an integral part hereof.

**Addresses and Details of the Parties:**

The Exchange:  
Saint-Petersburg International Mercantile  
Exchange  
OGRN (Primary State Registration Number)  
1089847188903  
INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
7840389730/784001001  
Location and postal address:  
191119, Saint-Petersburg, ul. Marata, 69-71,  
letter A, office 7-N.  
119021, Moscow, ul. Timura Frunze, 24.  
e-mail:  
[info@spimex.com](mailto:info@spimex.com); [clients@spimex.com](mailto:clients@spimex.com)  
Bank details:  
settlement account No.  
40701810000000000089  
with Bank "RRDB" (JSC), Moscow,  
correspondent account No.  
301018109000000000880 with the Main  
Branch of the Bank of Russia for the Central  
Federal District, BIK (Bank Identification  
Code) 044525880

Position:  
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The Company:  
  
OGRN  
  
INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
  
Location and postal address:  
  
  
e-mail:  
  
Bank details:

Position:  
\_\_\_\_\_/ \_\_\_\_\_ /

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**Form No. 5C**  
**for Non-Resident Temporary Section Member,**  
**Non-Resident Section Trade Visitor,**  
**Non-Resident Section Segment Trade Visitor Categories**

**AGREEMENT No. /**  
**on Provision of Services for Conduct of Organized Trading**

Moscow

«\_\_»\_\_\_\_\_20\_\_

Saint-Petersburg International Mercantile Exchange (SPIMEX), hereinafter referred to as the “Exchange”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for one part, and \_\_\_\_\_, hereinafter referred to as the “Company”, represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for the other part, hereinafter jointly referred to as the “Parties”, have entered into this Agreement on Provision of Services for Conduct of Organized Trading (hereinafter, the Agreement) on the following:

1. The Exchange agrees to regularly (systematically) provide services to the Company for conducting organized trading in the \_\_\_\_\_ Section/Section Segment of the Exchange in accordance with the Rules of Admission to Organized Trading of Joint Stock Company Saint-Petersburg International Mercantile Exchange, the Rules of Organized Trading in the \_\_\_\_\_ Section of Joint Stock Company Saint-Petersburg International Mercantile Exchange and other internal regulations of the Exchange (hereinafter, the Rules of Organized Trading) adopted in accordance with the requirements of the legislation of the Russian Federation and the Articles of Association of the Exchange. The Company agrees to pay for the services provided.

2. This Agreement is concluded by way of accession of the Company hereto. Conditions and procedure for provision of the services, their scope and terms of payment, as well as other rights and obligations associated with conducting of organized trading set forth in the Rules of Organized Trading constitute an integral part hereof. Terms and definitions used in the Agreement shall have the meanings assigned to them in the Rules of Organized Trading of the Exchange and in laws and other regulations of the Russian Federation.

3. In accordance with the Rules of Admission to Organized Trading of Saint-Petersburg International Mercantile Exchange and pursuant to this Agreement the Exchange agrees to admit the Company to organized trading in the category of \_\_\_\_\_ and grant it the right to participate in organized trading in the \_\_\_\_\_ Section/Section Segment of the Exchange, and the Company is obliged to pay a fee for the right to participate in organized trading as \_\_\_\_\_ in the amount of \_\_\_\_\_ (\_\_\_\_\_) rubles, VAT exempt.

The payment shall be made by the Company within fifteen (15) business days from the day of signing this Agreement by the Parties. In case of non-receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange within the specified time limit, this Agreement shall be deemed terminated, and admission to trading — not provided from the day following the last day of the time limit established for making the payment.

The payment for the right to participate in organized trading shall be made by the Company by one-time non-cash transfer to the settlement account of the Exchange specified herein. Payment details of the Exchange may be changed by the Exchange in its sole discretion subject to written notification to the Company of such change.

The date of admission of the Company and granting it the right to participate in organized trading shall be the date of receipt of the payment for the right to participate in organized trading to the settlement account of the Exchange.

4. The Company on the basis of this Agreement shall pay a fee for registration of contracts made in the course of organized trading, hereinafter, the exchange fee.

Rates (amount) of the exchange fee are established by the resolutions of the authorized bodies of the Exchange and disclosed on the Exchange's website on the Internet.

The exchange fee shall be paid by the Company on a monthly basis based on the results of transactions made by the Company in the \_\_\_\_\_ Section/Section Segment for the previous calendar month.

No later than the fifth (5th) day of the month following the reporting month the Exchange will send to the Company a Certificate of Services Rendered for the month signed by the Exchange and an invoice for payment.

The Company shall pay the invoice issued by the Exchange within ten (10) banking days from the date of issue of the invoice. The day of performance of the obligation to pay the exchange fee shall be the day the monetary funds are credited to the correspondent account of the Exchange's bank.

5. In the performance of obligations under the Agreement the Exchange and the Company may exchange messages by e-mail (correspondence and/or exchange of documents provided for in clause 4 of the Agreement).

E-mail addresses for correspondence and/or document exchange are determined for the Company on the basis of the information specified in the Registration Card prescribed by the Regulation on Provision of Documents for Admission to Organized Trading of SPIMEX, for the Exchange — "pay@s-pimex.ru" or "pay@spimex.com" or e-mail address indicating the mailbox name of the Exchange employee with the domain name "...@s-pimex.ru" or "...@spimex.com". The day of delivery of the Exchange's message to the Company by e-mail shall be the day of sending the respective message by the Exchange to the e-mail address of the Company.

6. On the basis of this Agreement and the respective supplements hereto the Exchange may render additional services to the Company that are directly related to participation in organized trading. Scope and type of the additional services of the Exchange and the cost of these services are approved by the authorized body of the Exchange. Fees for the additional services are disclosed on the Exchange's website on the Internet in accordance with the Rules of Organized Trading.

7. This Agreement may be unilaterally terminated on any of the grounds provided for in the Rules of Organized Trading for termination of admission to organized trading on the Exchange by sending a written notice to the other Party ten (10) business days before the expected date of termination of the Agreement. This time limit may be changed upon a mutual agreement of the Parties.

The Agreement shall be deemed terminated from the date indicated in the notice. Upon termination of this Agreement the Company shall settle accounts with the Exchange with regard to performance of obligations to pay for the services of the Exchange hereunder.

8. The Agreement shall come into effect on the date of its signing by the Parties and is concluded for one year with an option to extend.

To extend the Agreement the Company shall at least fifteen (15) business day before expiry thereof notify the Exchange in writing of its intention to extend the Agreement and send the documents to the Exchange that are prescribed by the Rules of Organized Trading for mandatory regular identification of a trading participant.

In this case the mandatory condition for extension of this Agreement for each next year shall be payment of a fee for the right to participate in organized trading

\_\_\_\_\_ in the amount established by the resolution of the authorized body of the Exchange, prior to expiry hereof.

Upon fulfillment of conditions of this clause of the Agreement the term of the Agreement will be extended for another year. Procedure for extension of the Agreement may be repeatedly applied upon the expiry of each current term.

The Exchange may refuse to extend this Agreement on the grounds provided for in the Rules of Organized Trading for termination of admission to organized trading on the Exchange. In the event of refusal of the Exchange to extend the Agreement, the Exchange will notify the Company thereof in writing.

9. The Agreement is executed in 2 counterparts having equal legal force, one for the Company and one for the Exchange. All amendments and supplements to the Agreement shall be deemed Appendices and constitute an integral part hereof.

**Addresses and Details of the Parties:**

The Exchange:  
Saint-Petersburg International Mercantile  
Exchange  
OGRN (Primary State Registration Number)  
1089847188903  
INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
7840389730/784001001  
Location and postal address:  
191119, Saint-Petersburg, ul. Marata, 69-71,  
letter A, office 7-N.  
119021, Moscow, ul. Timura Frunze, 24.  
e-mail:  
[info@spimex.com](mailto:info@spimex.com); [clients@spimex.com](mailto:clients@spimex.com)  
Bank details:  
settlement account No.  
40701810000000000089  
with Bank "RRDB" (JSC), Moscow,  
correspondent account No.  
301018109000000000880 with the Main  
Branch of the Bank of Russia for the Central  
Federal District, BIK (Bank Identification  
Code) 044525880

Position:  
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The Company:  
  
OGRN  
  
INN (Taxpayer Identification Number)/KPP  
(Tax Registration Reason Code)  
  
Location and postal address:  
  
e-mail:  
  
Bank details:  
  
Position:  
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\_\_\_\_\_20

**Agreement No.**  
**on Provision to SPIMEX Trading Participant of Software and/or Technical Means for**  
**Remote Access to Services Rendered by the Exchange**

Moscow

«\_\_»\_\_\_\_\_ 20\_\_

Saint-Petersburg International Mercantile Exchange (hereinafter, “SPIMEX” or the “Exchange”) represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for one part, and \_\_\_\_\_ (hereinafter, the “User”) represented by \_\_\_\_\_ acting on the basis of \_\_\_\_\_, for the other part, hereinafter also jointly referred to as the “Parties” and individually — the “Party”, have entered into this Agreement No. \_\_\_\_\_ on Provision to SPIMEX Trading Participant of Software and/or Technical Means for Remote Access to Services Rendered by the Exchange (hereinafter, the “Service Agreement”) on the following:

1. The Exchange agrees to render services to the User for provision of software and/or technical means for remote access to services rendered by the Exchange (hereinafter, the “Services”) in accordance with the Terms and Conditions of Provision to SPIMEX Trading Participants of Software and/or Technical Means for Remote Access to Services Rendered by the Exchange (hereinafter, the “Terms and Conditions”). The User agrees to pay for the Services rendered by the Exchange hereunder.

2. This Service Agreement is concluded by way of accession. Procedure for provision of the Services, their scope, terms of payment, rights and obligations of the Parties, as well as liability of the Parties are set forth in the Terms and Conditions and shall be binding on the Parties. The Terms and Conditions constitute an integral part hereof. The terms provided for herein are used with the meanings established in the Terms and Conditions.

3. The Terms and Conditions are published by the Exchange on the official website of SPIMEX on the Internet at: <http://spimex.com> (hereinafter, the “Exchange’s website”) and become binding on the Parties from the date of signing hereof.

Rates of cost of the Services rendered to the User (hereinafter, the “Exchange’s Rates”) are contained in the SPIMEX Fees and Commissions Schedule published on the Exchange’s website at: <http://spimex.com/participant/tariff/>.

4. The Exchange may in its sole discretion make amendments and additions to the Terms and Conditions and the Exchange’s Rates.

The Terms and Conditions become effective in accordance with the procedure established by the authorized bodies of the Exchange. The effective provisions of the Terms and Conditions become binding on the Parties from the effective date of the restated Terms and Conditions or the amendments and additions to the Terms and Conditions.

The Exchange will publish the text of the restated Terms and Conditions or the amendments and additions to the Terms and Conditions, as well as information on entry of the said documents into effect, on the Exchange's website at least ten (10) calendar days prior to the effective date of such amendments and additions.

The Users will be informed of the change in the Exchange's Rates in the manner and within the time limits established by the Rules of Organized Trading.

5. Changes in the organizational and technical procedure of operation of the User AWS (Automated Work Station), Back Office Services and ITHS (IT Hardware and Software) provided for herein become effective on the next business day after notification of the User by the Exchange of such changes by publication of the notice on the Exchange's website.

6. If the User does not agree with the amendments made by the Exchange to the Terms and Conditions, the User may terminate the Service Agreement by submitting written notice to the Exchange at least five (5) business days prior to the date of termination.

7. The User, which is a Trading Participant in the category of Trade Visitor/Non-Resident Trade Visitor, Temporary Section Member/Non-Resident Temporary Section Member, Section Segment Trade Visitor/Non-Resident Section Segment Trade Visitor, shall after signing hereof make a security payment in accordance with the Terms and Conditions.

8. In the event of change of addresses and bank details, including e-mail addresses indicated, the Parties shall notify each other in writing within five (5) calendar days after such changes take place.

9. In all other respects not covered by the terms and conditions of this Service Agreement and the Rules of Organized Trading the Parties shall be guided by statutory provision of the Russian Federation.

10. This Service Agreement shall come into effect on the date of its signing and remain in force until termination of the Agreement on Provision of Services for Conduct of Organized Trading entered into by and between the User and the Exchange in one of the following categories: Exchange Member/Non-Resident Exchange Member, Section Member/Non-Resident Section Member, Special Section Member, Temporary Section Member/Non-Resident Temporary Section Member, Trade Visitor/Non-Resident Trade Visitor, Section Segment Trade Visitor, Non-Resident Section Segment Trade Visitor.

11. This Service Agreement may be terminated upon mutual agreement of the Parties. Each of the Parties shall also be entitled to terminate this Service Agreement on a unilateral basis by sending a written notice to the other Party ten (10) business days to the expected date of termination of the Service Agreement, except for the cases provided for in clause 6 hereof. This time limit may be changed upon a mutual agreement of the Parties. The Service Agreement shall be deemed terminated from the date indicated in the notice.

12. This Service Agreement shall also be terminated from the moment of termination of the User admission to organized trading on the grounds provided for in the Rules of Organized Trading.

13. Remote access to the Exchange's services granted to the User in accordance with this Service Agreement will terminate from the date of termination hereof.

14. Termination of this Service Agreement does not release the Parties from performance of obligations that arose prior to such termination hereof.

15. This Service Agreement is executed in two counterparts having equal legal force, one for each of the Parties. One counterpart shall be kept by the Exchange, the other — by the User.

**Addresses and Details of the Parties:**

The Exchange:  
Saint-Petersburg International Mercantile Exchange  
OGRN (Primary State Registration Number) 1089847188903  
INN (Taxpayer Identification Number)/KPP (Tax Registration Reason Code) 7840389730/784001001  
Location and postal address:  
191119, Saint-Petersburg, ul. Marata, 69-71, letter A, office 7-N.  
119021, Moscow, ul. Timura Frunze, 24.  
e-mail:  
[info@spimex.com](mailto:info@spimex.com); [clients@spimex.com](mailto:clients@spimex.com)  
Bank details:  
settlement account No.  
40701810000000000089  
with Bank “RRDB” (JSC), Moscow, correspondent account No.  
30101810900000000880 with the Main Branch of the Bank of Russia for the Central Federal District, BIK (Bank Identification Code) 044525880

Position:  
\_\_\_\_\_ / \_\_\_\_\_ /

\_\_\_\_\_ 20

The Company:  
OGRN  
INN (Taxpayer Identification Number)/KPP (Tax Registration Reason Code)  
Location and postal address:  
e-mail:  
Bank details:

Position:  
\_\_\_\_\_ / \_\_\_\_\_ /

\_\_\_\_\_ 20

**Forms of Documents to be Provided by the Trading Participant for Accreditation of  
Authorized Representatives**

**Form No. 7A**

**[ON THE LETTERHEAD OF THE ORGANIZATION]**

Power of Attorney No. \_\_\_\_\_

\_\_\_\_\_  
(date of issue of the power of attorney, in words)

By this power of attorney \_\_\_\_\_  
(name of the Trading Participant)

represented by \_\_\_\_\_,  
(position, full name of the head of the Trading Participant)

acting on the basis of \_\_\_\_\_,  
(name of the document and its details)

authorizes \_\_\_\_\_  
(position held, full name of the attorney)

Passport series \_\_\_\_\_ number \_\_\_\_\_, issued  
by \_\_\_\_\_

\_\_\_\_\_ on behalf of \_\_\_\_\_  
(name of the Trading Participant)

to perform the following actions:

- to participate in trading in the \_\_\_\_\_ Section/Section Segment of SPIMEX,  
make contracts on behalf of the Trading Participant and all of its Customers in the manner  
prescribed by the Rules of Organized Trading in the \_\_\_\_\_  
Section of SPIMEX;

- to obtain the User Codes and passwords for working in the ETS (Electronic Trading System);

- to obtain the information and reporting documents on the results of trading in the Section;

- to file applications for modification of the list of exchange-traded commodities admitted to trading,  
instruments, delivery bases in the Electronic Trading System and/or Exchange-Traded Commodity  
Specifications;

- to sign and deliver to SPIMEX a registration card in the form prescribed by the Document Provision  
Regulation;

- to send information on the Customers of the Trading Participant, including applications for registration  
of the Customer/deregistration of the Customer/modification of the Customer's information, to the  
Exchange.

This power of attorney is issued without the right of substitution. The power of attorney is valid until

\_\_\_\_\_  
(term of the power of attorney, in words)

Contact telephone number of the attorney \_\_\_\_\_

Specimen signature \_\_\_\_\_ is certified.

\_\_\_\_\_  
(Full name and signature of the attorney)

Position \_\_\_\_\_ / \_\_\_\_\_  
(signature) (Initials, Surname)

\_\_\_\_\_ 20\_\_

[ON THE LETTERHEAD OF THE ORGANIZATION]

Power of Attorney No.

\_\_\_\_\_ (date of issue of the power of attorney, in words)

By this power of attorney \_\_\_\_\_ (name of the Trading Participant)

represented by \_\_\_\_\_, (position, full name of the head of the Trading Participant)

acting on the basis of \_\_\_\_\_, (name of the document and its details)

authorizes \_\_\_\_\_ (position held, full name of the attorney)

Passport series \_\_\_\_\_ number \_\_\_\_\_, issued by \_\_\_\_\_

\_\_\_\_\_ (issuing authority, date of issue)

on behalf of \_\_\_\_\_ (name of the Trading Participant)

to perform the following actions:

- to participate in trading in the \_\_\_\_\_ Section of SPIMEX, to make contracts in the manner prescribed by the Rules of Organized Trading in the \_\_\_\_\_ Section of SPIMEX on behalf of the following Customers:

\_\_\_\_\_ ; (Name and Code of the Trading Participant's Customer)

- to obtain own User Code and password for working in the ETS (Electronic Trading System).

This power of attorney is issued without the right of substitution. The power of attorney is valid until

\_\_\_\_\_ (term of the power of attorney, in words)

Contact telephone number of the attorney \_\_\_\_\_

Specimen signature \_\_\_\_\_ is certified. (Full name and signature of the attorney)

Position \_\_\_\_\_ / \_\_\_\_\_ (signature) (Initials, Surname)

\_\_\_\_\_ 20\_\_



**[ON THE LETTERHEAD OF THE ORGANIZATION]**

Power of Attorney No.

\_\_\_\_\_  
(date of issue of the power of attorney, in words)

By this power of attorney \_\_\_\_\_  
(name of the Trading Participant)

represented by \_\_\_\_\_,  
(position, full name of the head of the Trading Participant)

acting on the basis of \_\_\_\_\_,  
(name of the document and its details)

authorizes \_\_\_\_\_  
(position held, full name of the attorney)

\_\_\_\_\_  
(details of the attorney's identification document)  
on behalf of \_\_\_\_\_  
(name of the Trading Participant)

to perform the following actions in accordance with the legislation of the Russian Federation:

- to participate in trading in the \_\_\_\_\_ Section/Section Segment of SPIMEX,  
make contracts on behalf and for account of the Trading Participant or for account of one or more  
Customers in the manner prescribed by the Rules of Organized Trading in the  
\_\_\_\_\_ Section of SPIMEX;

- to obtain the User Codes and passwords for working in the ETS (Electronic Trading System);
- to obtain the information and reporting documents on the results of trading in the Section;
- to file applications for modification of the list of exchange-traded commodities admitted to trading, instruments, delivery bases in the Electronic Trading System and/or Exchange-Traded Commodity Specifications;
- to send information on the Customers of the Trading Participant, including applications for registration of the Customer/deregistration of the Customer/modification of the Customer's information, to the Exchange.

This power of attorney is issued without the right of substitution. The power of attorney is valid until

\_\_\_\_\_  
(term of the power of attorney, in words)

Contact telephone number of the attorney \_\_\_\_\_

Specimen signature \_\_\_\_\_ is certified.  
(Full name and signature of the attorney)

Position \_\_\_\_\_ / \_\_\_\_\_  
(signature) (Initials, Surname)

\_\_\_\_\_ 20\_\_

[ON THE LETTERHEAD OF THE ORGANIZATION]

Power of Attorney No.

(date of issue of the power of attorney, in words)

By this power of attorney \_\_\_\_\_ (name of the organization)

represented by \_\_\_\_\_ (position, full name of the head of the organization),

acting on the basis of \_\_\_\_\_ (name of the document and its details),

authorizes \_\_\_\_\_ (position held, full name of the attorney)

(passport data of the attorney/data of other identification document of the attorney, for a foreign person)

on behalf of \_\_\_\_\_ (name of the organization)

- to perform the following actions:
- to monitor the course of trading in the \_\_\_\_\_ Section/Section Segment of SPIMEX with the use of the ETS (Electronic Trading System) workstation determined in accordance with the internal regulations of SPIMEX that set forth the requirements for operation of the \_\_\_\_\_ Section of SPIMEX, without the right to place orders in the ETS;
- to obtain the User Codes and passwords for working in the ETS.

This power of attorney is issued without the right of substitution. The power of attorney is valid until

(term of the power of attorney, in words)

Contact telephone number of the attorney \_\_\_\_\_

Specimen signature \_\_\_\_\_ is certified. (Full name and signature of the attorney)

Position \_\_\_\_\_ / \_\_\_\_\_ (signature) (Initials, Surname)

\_\_\_\_\_ 20\_\_

[ON THE LETTERHEAD OF THE ORGANIZATION]

SPIMEX CEO

APPLICATION  
for Accreditation of the Authorized Representative of the Trading Participant in  
\_\_\_\_\_ Section/Section Segment of SPIMEX

I hereby request  
accreditation for

\_\_\_\_\_  
(Name, patronymic, surname)

\_\_\_\_\_  
(passport data of the Authorized Representative/data of other identification document of the  
Authorized Representative, for a foreign person)

as the authorized representative of the Trading Participant in the \_\_\_\_\_ Section/Section  
Segment of SPIMEX

\_\_\_\_\_  
(full name of the Trading Participant)

with authority of \_\_\_\_\_  
(trader of the Trading Participant, analyst of the Trading  
Participant)

determined by the internal regulations of SPIMEX that set forth  
the requirements for operation of the \_\_\_\_\_ Section of SPIMEX and the procedure for  
making contracts in the \_\_\_\_\_ Section of SPIMEX.

Position  
(head of the organization  
or other authorized person)

\_\_\_\_\_/\_\_\_\_\_  
(signature) (Initials, Surname)

L.S.

\_\_\_\_\_ 20\_\_

**Forms of Documents to be Provided by the Trading Participant for Inclusion in the List of  
Commodity Trading Participants Acting on Behalf and for Account of Other Persons and  
for Publication of the Respective Information on the SPIMEX Website**

**Form No. 9A**

**[ON THE LETTERHEAD OF THE ORGANIZATION]**

SPIMEX CEO

**Application  
for inclusion in the List of Commodity Trading Participants Acting on Behalf and for  
Account of Other Persons of  
Saint-Petersburg International Mercantile Exchange**

I hereby request to include

\_\_\_\_\_ / \_\_\_\_\_  
*(name of the Applicant)*

in the List of Commodity Trading Participants Acting on Behalf and for Account of Other Persons  
of Saint-Petersburg International Mercantile Exchange in the following Sections of SPIMEX:

\_\_\_\_\_ / \_\_\_\_\_  
*(name of Sections)*

I have no objections to SPIMEX disclosing information on the Exchange's website on the Internet  
concerning

\_\_\_\_\_ / \_\_\_\_\_  
*(name of the Applicant)*

as about the Commodity Trading Participant acting on behalf and for account of other persons.  
Please, indicate the following information as the contact details of the Commodity Trading  
Participant on the SPIMEX website: Internet website \_\_\_\_\_.

Position  
(head of the organization  
or other authorized person)

\_\_\_\_\_ / \_\_\_\_\_  
(signature) (Initials, Surname)

L.S.

\_\_\_\_\_ 20\_\_

[ON THE LETTERHEAD OF THE ORGANIZATION]

SPIMEX CEO

**Application**

**for inclusion in the List of Commodity Trading Participants Acting on Behalf and for Account of Other Persons of Saint-Petersburg International Mercantile Exchange**

I hereby request to include

\_\_\_\_\_ *(name of the Applicant)*

in the List of Commodity Trading Participants Acting on Behalf and for Account of Other Persons of Saint-Petersburg International Mercantile Exchange in the following Sections of SPIMEX:

\_\_\_\_\_ *(name of Sections)*

I have no objections to SPIMEX disclosing information on the Exchange’s website on the Internet concerning

\_\_\_\_\_ *(name of the Applicant)*

as about the Trading Participant being a foreign person acting on behalf and for account of the Customers being foreign persons. Please, be informed of the terms and conditions of contracts entered into by and between the Trading Participant and the Customers being foreign legal entities (the following may be specified in the Application or attached thereto: model forms of contract; basic rights and obligations of the seller and the buyer; liability of the parties for failure to perform or improper performance of contracts, etc.)

Please, indicate the following information as the contact details of the Commodity Trading Participant on the SPIMEX website: Internet website \_\_\_\_\_.

Position  
(head of the organization  
or other authorized person)

\_\_\_\_\_/\_\_\_\_\_  
(signature) (Initials, Surname)

L.S.

\_\_\_\_\_ 20\_\_

*The documents duly issued, executed or certified in accordance with the foreign law by the competent authorities of the foreign countries outside the Russian Federation in respect of the foreign persons will be accepted by the Exchange subject to legalization, unless otherwise provided for by the international convention, international treaty of the Russian Federation or the federal law. The documents executed in the foreign language shall be submitted to the Exchange with duly certified translation thereof into Russian.*

[ON THE LETTERHEAD OF THE ORGANIZATION]

SPIMEX CEO

**Application**

**for Publication of the Information on the Website of Saint-Petersburg International  
Mercantile Exchange as about the Trading Participant Acting on Behalf and for Account of  
Other Persons**

I hereby request publication on the website of Joint Stock Company Saint-Petersburg International Mercantile Exchange of information about

---

*(name of the Applicant)*

as about the Trading Participant being a legal entity registered in accordance with the legislation of the Russian Federation acting on behalf and for account of other persons in the following Sections of SPIMEX:

---

Please, indicate the following information as the contact details of the Trading Participant on the SPIMEX website: Internet website \_\_\_\_\_.

Position  
(head of the organization  
or other authorized person)

\_\_\_\_\_/\_\_\_\_\_  
(signature) (Initials, Surname)

L.S.

\_\_\_\_\_ 20\_\_

[ON THE LETTERHEAD OF THE ORGANIZATION]

SPIMEX CEO

**Application**

**for Publication of the Information on the Website of Saint-Petersburg International  
Mercantile Exchange as about the Trading Participant Acting on Behalf and for Account of  
Other Persons**

I hereby request publication on the website of Joint Stock Company Saint-Petersburg International  
Mercantile Exchange of information about

---

*(name of the Applicant)*

as about the Trading Participant being a foreign legal entity acting on behalf and for account of the  
Customers being foreign persons in the SPIMEX Derivatives Section.

Please, indicate the following information as the contact details of the Trading Participant on the  
SPIMEX website: Internet website \_\_\_\_\_.

Position  
(head of the organization  
or other authorized person)

\_\_\_\_\_/\_\_\_\_\_  
(signature) (Initials, Surname)

L.S.

\_\_\_\_\_ 20\_\_

**Forms of Documents to be Provided by the Trading Participant for Termination or  
Extension of the Agreement on Provision of Services for Conduct of Organized Trading**

**Form No. 11**

**[ON THE LETTERHEAD OF THE ORGANIZATION]**

SPIMEX CEO

**APPLICATION**  
**for Termination of Agreement on Provision of Services for Conduct of Organized Trading**

I hereby request you to consider terminated Agreement No. \_\_\_\_\_ of \_\_\_\_\_ 20\_\_  
Provision of Services for Conduct of Organized Trading entered into by and between Saint-  
Petersburg International Mercantile Exchange and

\_\_\_\_\_  
*(name of the Trading Participant)*

**from** \_\_\_\_\_ **20**\_\_  
*(date of termination of the Agreement)*

Position  
(head of the organization  
or other authorized person)

\_\_\_\_\_/\_\_\_\_\_  
(signature) (Initials, Surname)

L.S.

\_\_\_\_\_ 20\_\_



[ON THE LETTERHEAD OF THE ORGANIZATION]

SPIMEX CEO

**APPLICATION  
for Extension of Agreement on Provision of Services for Conduct of Organized Trading  
with SPIMEX**

I hereby request you to extend Agreement on Provision of Services for Conduct of Organized Trading No. \_\_\_\_\_ of \_\_\_\_\_ 20\_\_\_\_ (hereinafter, the Agreement)

\_\_\_\_\_  
*(name of the Trading Participant organization)*

in accordance with the terms and conditions of the Agreement and the Rules of Organized Trading of SPIMEX.

List of enclosed documents (if any):

- 1. .....
- 2. .....

Position  
(head of the organization  
or other authorized person)

\_\_\_\_\_/\_\_\_\_\_  
 (signature) (Initials, Surname)  
 L.S. \_\_\_\_\_ 20\_\_